

(2,3)- α -Methylenepenams: Synthesis and *In Vitro* Activity¹

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(Received 5 March 1993; accepted 5 April 1993)

Abstract—A series of α -methylene penicillins was synthesized and SAR were studied. The α -isomers were found to be chemically reactive and biologically active in contrast to the β -isomers. In addition, the α -isomers have broader spectrum of *in vitro* activity than the corresponding penicillins. Generally, the α -isomers are more active against gram-negative bacteria than the corresponding penicillins, but slightly weaker in potency towards gram-positive organisms.

Introduction

A comparison of the various properties of penicillin G and the (2,3)- β -methylene penam (2), led to the conclusion that the "open" conformation of penicillins (1a) is the biologically active form,² a conclusion also arrived at independently by Cohen³ (Figure 1). As a corollary, it was predicted that 6-acylamino derivatives of (2,3)- α -methylene penam (3) would possess good antibacterial properties.

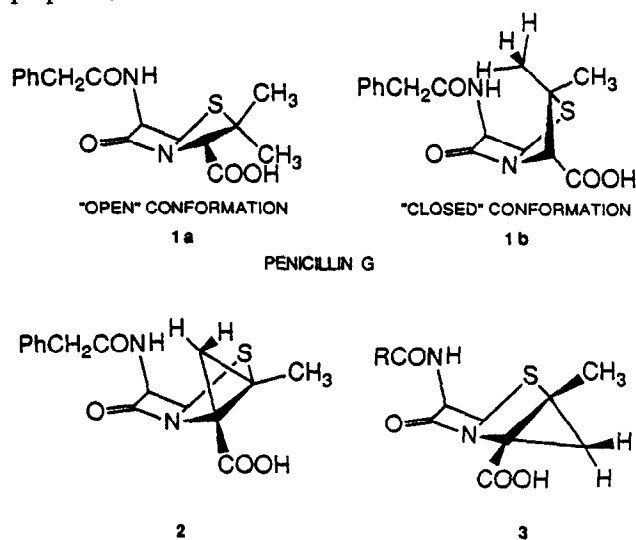
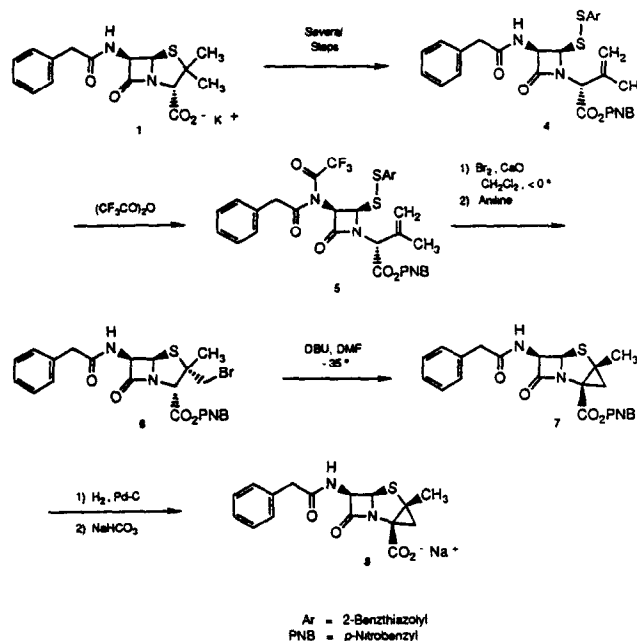


Figure 1.

An interesting feature of 3 is the position of the carboxy substituent on the thiazolidine ring. The carbon bearing it has the *R*-configuration, which, to the best of our knowledge, is the opposite from all known biologically active penicillins. An examination of the Dreiding models reveals that the actual disposition in space of the carboxyl group vis-a-vis the β -lactam carbonyl is quite similar to the spatial arrangement of these groups in cephalosporins. Thus, it was expected that members of this new class of β -lactams would have improved activity against gram-negative bacteria, since many cephalosporins are excellent gram-negative agents.

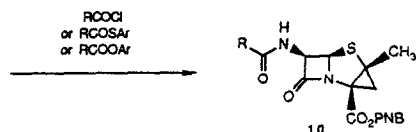
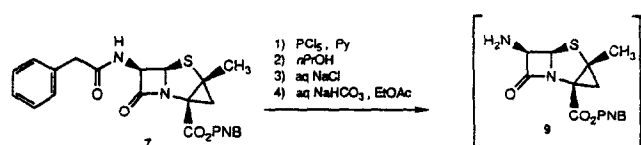
α -Methylenepenam 8 was prepared as outlined in Scheme I. Details of the synthesis were described previously.⁴ Since this compound was found to have interesting biological activity, a number of (2,3)- α -methylenepenams with a variety of acylamino side chains were prepared and their antimicrobial activities were evaluated.



Scheme I.

Chemistry

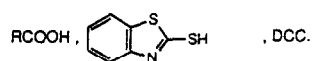
The synthesis of (2,3)- α -methylenepenam analogs is outlined in Scheme II starting from 7. Due to the reactivity of this class of molecules, numerous conditions were explored before achieving acceptable conversion of 7 to 9. The optimum conditions in our experience for the deacylation were found to be the reaction of 7 with PCl_5 (1.1 eq.) and dry pyridine (1.9 eq.) in chloroform, followed by treatment with *n*-propanol and then saturated aqueous sodium chloride solution. Compound 9, without further



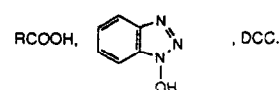
RCOCl Method

RCOCl, NaHCO₃ or Et₃N.

RCOSAr Method



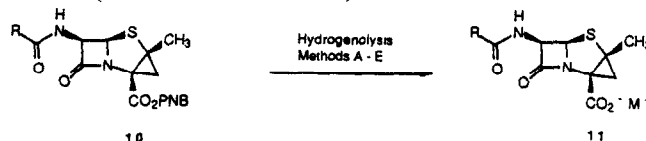
RCOOAr Method



Scheme II.

purification, was converted to various derivatives by reaction with activated acyl derivatives. These included acid chlorides (RCOCl) and esters of 2-mercaptobenzothiazole

(RCOSAr) or 1-hydroxybenzotriazole (RCOOAr) prepared *in situ* with dicyclohexylcarbodiimide and the corresponding acid. The compounds prepared are listed in Table 1. Deprotection of the *p*-nitrobenzyl ester (PNB) was accomplished by hydrogenolysis with 10% palladium on carbon (Scheme III and Table 2).



Method A) i. 10% Pd-C, H₂ in THF
ii. aq. NaHCO₃

Method B) 10% Pd-C, H₂ in EtOAc - 0.1M NaHCO₃ (aq.)

Method C) i. 10% Pd-C, H₂ in CH₃OH-THF (1:1, V/V)
ii. NaHCO₃ in H₂O

Method D) i. 10% Pd-C, H₂ in DMF
ii. CH₃OH, aq. NaHCO₃

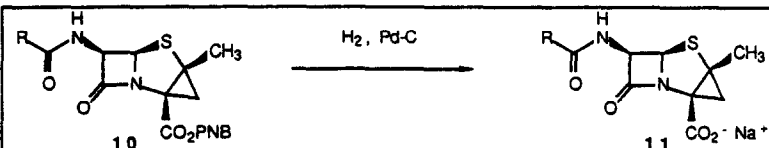












Method E) i. 10% Pd-C, H₂ in CH₃OH
ii. aq. NaHCO₃, EtOAc

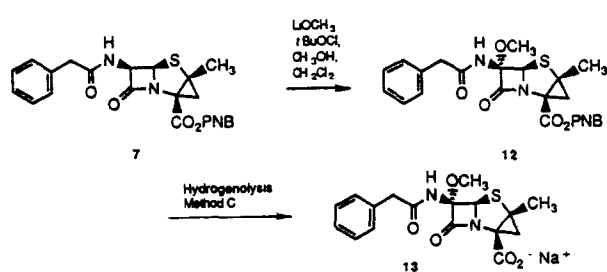
Scheme III.

Table 1.

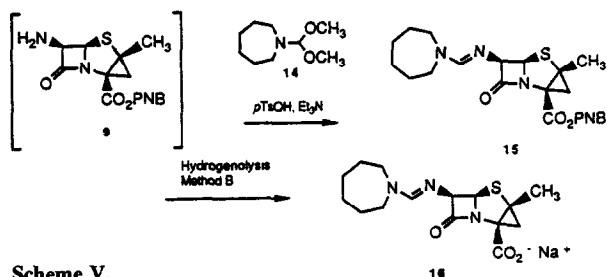
| Compound | R | Coupling Method |
|----------|---|-----------------|
| 10 a | | RCOCl |
| 10 b | | RCOCl |
| 10 c | | RCOCl |
| 10 d | | RCOCl |
| 10 e | | RCOCl |
| 10 f | | RCOCl |
| 10 g | | RCOSAr |
| 10 h | | RCOSAr |
| 10 i | | RCOSAr |
| 10 j | | RCOSAr |
| 10 k | | RCOSAr |
| 10 l | | RCOOAr |
| 10 m | | RCOOAr |

Table 2.

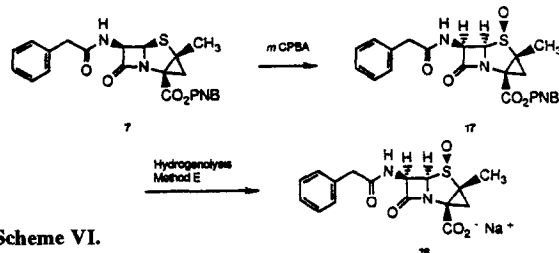
|  | | |
|--|---|-----------------------|
| Compound | R | Hydrogenolysis Method |
| 11a |  | A |
| 11b |  | A |
| 11c |  | B |
| 11d |  | A |
| 11e |  | A |
| 11g |  | B |
| 11h |  | D |
| 11i |  | C |
| 11j |  | A |
| 11k |  | A |
| 11l |  | B |
| 11m |  | A |



Scheme IV.



Scheme V.



Scheme VI.

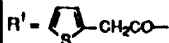

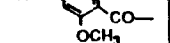
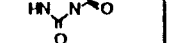
Three other derivatives of (2,3)- α -methylenepenams, namely 13, 16 and 18 were also prepared by the procedures outlined in Schemes IV–VI respectively.

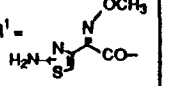
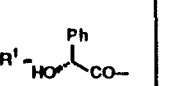
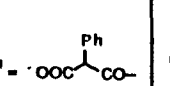
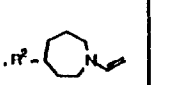
Microbiology

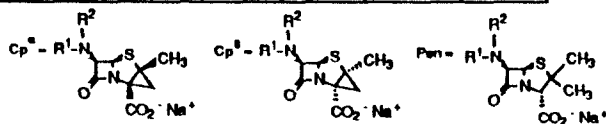
In vitro antimicrobial activities (MICs) were determined in antibiotic medium No. 1 (Seed Agar, BBL) using an agar-diffusion well method.⁵ A comparison of *in vitro* antimicrobial activities of selected (2,3)- α -methylenepenams with the corresponding penicillins and cephalosporins is summarized in Tables 3 and 4. An indication of β -lactamase susceptibility was obtained by incorporating a partially purified enzyme preparation into the agar and comparing MICs for *M. luteus* ATCC 9341 with MICs measured in the absence of β -lactamase (Table 5). In general the (2,3)- α -methylenepenam analogs were inactive *in vitro* against *Pseudomonas cepacia*, *Pseudomonas maltophilia*, *Enterobacter cloacae*, molds, and yeasts.

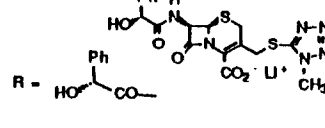
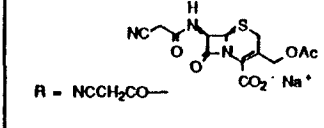
The penicillin binding protein (PBP) binding assay was carried out with Triton X-100-solubilized membranes from sonicated bacteria as described by Spratt.⁶ PBP binding was measured as inhibition of [¹⁴C]-penicillin G binding. Results are summarized in Tables 6 and 7.

Table 3. Comparison of *in vitro* activity** of selected (2,3)- α -methyleneepenams with penicillins

| Compound | Organisms | R ¹ = PhCH ₂ CO— | | | R ¹ =  | | R ¹ =  | | R ¹ =  | | R ¹ =  | |
|--|-----------|--|-------------------|-------------------|--|---------------------|---|---------------------|--|---------------------|--|---------------------|
| | | Pen. Penicillin G | Cp ^a 8 | Cp ^a 2 | Pen | Cp ^a 11i | Pen | Cp ^a 11c | Pen Methicillin | Cp ^a 11e | Pen Azlocillin | Cp ^a 11k |
| <i>Pseudomonas aeruginosa</i> ATCC 8709 | | 250 | >1000 | >500 | 250 | >500 | 125 | >125 | >125 | >125 | 12.5 | 100 |
| <i>Pseudomonas aeruginosa</i> ^a | | 1.95 | 15.6 | >500 | 3.9 | 31.3 | 0.49 | 7.8 | 7.8 | 31.3 | 0.39 | 12.5 |
| <i>Escherichia coli</i> ATCC 27856 | | 125 | 15.6 | >500 | 125 | 15.6 | 31.3 | 3.9 | >125 | 125 | 100 | >100 |
| <i>Klebsiella pneumoniae</i> ATCC 27858 | | 250 | 15.6 | >500 | 500 | 15.6 | 125 | 3.9 | >125 | >125 | >100 | >100 |
| <i>Serratia marcescens</i> ATCC 27857 | | >1000 | >1000 | >500 | >500 | >500 | >125 | >125 | >125 | >125 | 50 | >100 |
| <i>Serratia</i> sp ATCC 93 | | >1000 | 1000 | >500 | >500 | >500 | >125 | >125 | >125 | >125 | 25 | >100 |
| <i>Streptococcus faecium</i> ATCC 8043 | | 1.95 | 31.5 | 62.5 | 7.8 | 250 | 3.9 | 15.6 | >125 | >125 | 6.25 | 25 |
| <i>Staphylococcus aureus</i> ATCC 6538p | | 0.03 | 0.23 | 15.6 | 0.06 | 0.49 | 0.03 | 0.12 | 1.95 | 15.6 | 0.78 | 0.78 |
| <i>Staphylococcus aureus</i> ^b | | 125 | 1.9 | 500 | 7.8 | 1.95 | 3.9 | 1.95 | 3.9 | 62.6 | 12.5 | 6.25 |
| <i>Staphylococcus aureus</i> ^c | | 500 | 62.5 | >1000 | >500 | 250 | >125 | 31.3 | | | >100 | 100 |
| <i>Micrococcus luteus</i> ATCC 9341 | | 0.03 | 0.45 | 62.5 | 0.06 | 0.98 | 0.03 | 0.24 | 0.24 | 0.98 | 0.195 | 3.13 |
| <i>Bacillus subtilis</i> NRRL 558 | | 0.03 | 0.12 | 7.8 | 0.06 | 0.24 | 0.03 | 0.03 | 0.49 | 125 | 0.78 | 0.78 |

| Compound | Organisms | R ¹ =  | | R ¹ =  | | R ¹ =  | | R ¹ , R ² =  | |
|--|-----------|--|---------------------|--|---------------------|---|---------------------|---|--------------------|
| | | Pen | Cp ^a 11g | Pen | Cp ^a 11i | Pen Carbenicillin | Cp ^a 11b | Pen Amdinocillin | Cp ^a 16 |
| <i>Pseudomonas aeruginosa</i> ATCC 8709 | | 100 | 100 | >125 | >125 | 25 | >100 | 250 | >500 |
| <i>Pseudomonas aeruginosa</i> ^a | | 1.56 | 3.13 | 1.95 | 31.3 | 0.78 | 12.5 | 15.6 | >500 |
| <i>Escherichia coli</i> ATCC 27856 | | 50 | 25 | 31.3 | 7.8 | 100 | 25 | 0.12 | 500 |
| <i>Klebsiella pneumoniae</i> ATCC 27858 | | 100 | 25 | >125 | 7.8 | >100 | 50 | 0.12 | 125 |
| <i>Serratia marcescens</i> ATCC 27857 | | 50 | 12.5 | >125 | 62.5 | 100 | 50 | 0.49 | >500 |
| <i>Serratia</i> sp ATCC 93 | | 12.5 | 6.25 | 31.3 | 15.6 | 12.5 | 25 | 0.24 | >500 |
| <i>Streptococcus faecium</i> ATCC 8043 | | 25 | >500 | 3.9 | >125 | >100 | >100 | >500 | >500 |
| <i>Staphylococcus aureus</i> ATCC 6538p | | 0.78 | 1.56 | 0.06 | 0.24 | 0.78 | 12.5 | 31.3 | 15.6 |
| <i>Staphylococcus aureus</i> ^b | | 2.56 | 3.13 | 3.9 | 1.95 | 12.5 | 100 | 125 | 125 |
| <i>Staphylococcus aureus</i> ^c | | 100 | 100 | >125 | 125 | >100 | >100 | >500 | >500 |
| <i>Micrococcus luteus</i> ATCC 9341 | | 0.78 | 0.78 | 0.03 | 0.49 | 3.13 | 50 | 31.3 | 62.5 |
| <i>Bacillus subtilis</i> NRRL 558 | | 1.56 | 25 | 0.12 | 0.24 | 3.13 | 25 | 15.6 | 31.3 |

R² = H, unless indicated otherwise.** MIC (μ g/mL) Agar Diffusion Well Method.^a β -Lactam sensitive mutant.^b Inducible β -lactamase.^c Methicillin resistant and β -lactamase producer.Table 4. Comparison of *in vitro* activity** of (2,3)- α -methyleneepenams with penicillins and cephalosporins

| Compound* | Organisms | R =  | | | R =  | | |
|--|-----------|---|---------------------|-------------|--|---------------------|--------------|
| | | Pen | Cp ^a 11i | Cefamandole | Pen | Cp ^a 11m | Cephacetrile |
| <i>Pseudomonas aeruginosa</i> ATCC 8709 | | >125 | >125 | >125 | >100 | >100 | >100 |
| <i>Pseudomonas aeruginosa</i> ^a | | 1.95 | 31.3 | 15.6 | 3.13 | 50 | 3.13 |
| <i>Escherichia coli</i> ATCC 27856 | | 31.3 | 7.8 | 1.95 | 50 | 6.25 | 25 |
| <i>Klebsiella pneumoniae</i> ATCC 27858 | | >125 | 7.8 | 7.8 | >100 | 12.5 | 25 |
| <i>Serratia marcescens</i> ATCC 27857 | | >125 | 62.5 | >125 | >100 | 100 | >100 |
| <i>Serratia</i> sp ATCC 93 | | 31.3 | 15.6 | 15.6 | >100 | 100 | >100 |
| <i>Streptococcus faecium</i> ATCC 8043 | | 3.9 | >125 | 62.5 | 6.25 | 6.25 | 12.5 |
| <i>Staphylococcus aureus</i> ATCC 6538p | | 0.06 | 0.24 | 0.12 | 0.195 | 1.56 | 0.39 |
| <i>Staphylococcus aureus</i> ^b | | 3.9 | 1.95 | 0.98 | 6.25 | 6.25 | 1.56 |
| <i>Staphylococcus aureus</i> ^c | | >125 | 125 | 62.5 | >100 | 100 | 12.5 |
| <i>Micrococcus luteus</i> ATCC 9341 | | 0.03 | 0.49 | 0.24 | 0.78 | 12.5 | 6.25 |
| <i>Bacillus subtilis</i> NRRL 558 | | 0.12 | 0.24 | 0.24 | 0.195 | 1.56 | 0.78 |

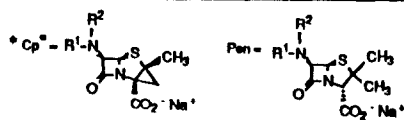
R² = H, unless indicated otherwise.** MIC (μ g/mL) Agar Diffusion Well Method.^a β -Lactam sensitive mutant.^b Inducible β -lactamase.^c Methicillin resistant and β -lactamase producer.

Table 6. Binding of penams and (2,3)-methylenepenams to *E. coli* DC0 PBPs

| Compound | Concn ($\mu\text{g/ml}$) for 90% inhibition of [^{14}C]penicillin G binding | | | | | |
|----------------------|--|--------------------|-------------------|-------------------|-------------------|---------------------|
| | PBP 1a (90 kDa) | PBP 1b (90 kDa) | PBP 2 (66 kDa) | PBP 3 (60 kDa) | PBP 4 (49 kDa) | PBP 5/6 (40 kDa) |
| Penicillin G | 10 | 10 | 2 | 2 | 10 | 100 |
| 8 | 100 | 100 | 100 | 2 | 100 | >100 |
| 2 | >100 | >100 | >100 | 100 | >100 | >100 |
| Pen. analogue of 13 | >100 | >100 | 100 | 100 | >100 | 100 |
| 13 | >100 | >100 | >100 | 10 | 30 | 30 |
| Pen. analogue of 11i | 10 | 10 | 2 | 0.5 | 0.5 | 100 |
| 11i | ND ^a | >100 | >100 | 0.5 | 100 | >100 |
| Pen. analogue of 11d | 2 | 10 | 30 | 0.5 | 100 | >100 |
| 11d | 100 | 100 | >100 | 10 | >100 | >100 |
| Penicillin V | 0.5 | 2 | 2 | 0.5 | 0.1 | 100 |
| 11a | 10 | 100 | >100 | 2 | >100 | >100 |
| Azlocillin | 10 | 10 | 2 | 0.1 | 0.5 | 30 |
| 11k | 2 | 2 | 2 | 0.1 | 10 | >100 |
| Pen. analogue of 11f | 30 | 100 | 10 | 10 | 100 | >100 |
| 11f | 100 | >100 | 30 | 30 | 100 | >100 |
| Amdinocillin | >100 | >100 | 0.1 | >100 | >100 | >100 |
| 16 | 100 | >100 | >100 | >100 | >100 | >100 |

^a ND = Not detected.**Table 7.** Binding of benzylpenicillin and two (2,3)-methylenepenam analogs to *S. aureus* ATCC 25923 PBPs

| Compound | Concn ($\mu\text{g/ml}$) for 90% inhibition of [^{14}C]penicillin G binding | | | |
|--------------|--|------------------|------------------|------------------|
| | PBP1 (87 kDa) | PBP2 (80 kDa) | PBP3 (75 kDa) | PBP4 (41 kDa) |
| Penicillin G | 0.1 | 0.1 | 0.1 | >100 |
| 8 | 0.1 | 0.1 | >100 | >100 |
| 2 | 0.1 | >100 | 100 | >100 |

Results and Discussion

Penicillin G exhibits excellent antibacterial efficacy against gram-positive bacteria but is less effective against gram-negative strains. The (2,3)- α -methylenepenam analog 8, which contains the same phenylacetamino side chain, has good gram-positive activity and also has activity against gram-negative strains. The β -isomer, 2, however, is the least effective antibacterial, having weak activity against gram-positive strain and no measurable gram-negative activity. These results are in agreement with PBP binding assays in which penicillin G and α -methylenepenam bind effectively to the essential PBPs in both *E. coli* (Table 6) and *S. aureus* (Table 7), while the β -isomer is inactive. The α -methylenepenam is a rigid analog of the "open" conformation available to penams, while the β -isomer is an analog of the "closed" conformation. Our results strongly support the hypothesis of Keith *et al.*² that the active conformation of penicillin is the "open" one.

The *in vitro* activities of selected (2,3)- α -methylenepenams are shown in Tables 3 and 4. These compounds have a broader spectrum of activity than the corresponding penicillins. In general, they are more active against gram-negative bacteria, but slightly weaker in potency toward gram-positive organisms. Their activities against gram-negative rods were found to be associated with binding to the essential PBP 3, with inhibitory concentrations

comparable to those of the corresponding penams (Table 6). The better activity of (2,3)- α -methylenepenams, as compared to the penicillin series against these organisms, is probably due to a combination of intrinsic potency and better permeability characteristics, as demonstrated in a recent study.⁷

In terms of antibacterial activity, the spectra of α -methylenepenams are closer to their cephalosporin analogs than those of the penicillins (Table 4). The lack of activity of the amidino-(2,3)- α -methylenepenam is similar to the characteristics of the amidino-cephalosporins.⁸ In addition, the relative susceptibility of α -methylenepenams to cephalosporinase and penicillinase, as indicated in Table 5, confirms that these derivatives act more like cephalosporins than penicillins. For example, while penicillins were apparently completely inactivated by staphylococcal penicillinase, the activities of the corresponding α -methylenepenams were still detectable. In contrast, α -methylenepenams were extensively inactivated by Type 1c cephalosporinase from *P. vulgaris*, while the corresponding penicillins were much less sensitive.

The β -lactamase results provide a striking illustration of the influence of the position in space of the carboxyl group. Compared to the corresponding penicillins, the α -methylenepenams, in which the spatial orientation of the carboxyl group is similar to that in cephalosporins, were

better substrates for gram-negative chromosomal cephalosporinase, and much poorer substrates for staphylococcal penicillinases.

α -Methylenepenams are highly strained molecules and might be expected to be very reactive toward hydrolysis. Our studies indicate that α -methylenepenam **8** is readily hydrolyzed by pH 7.4 phosphate buffer, at 37 °C, with a half-life of 5.9 h, while penicillin G and β -methylenepenam **2** are relatively stable with half-lives of 98 h and 420 h respectively. Although, the *in vitro* activities of α -methylenepenams are excellent against gram-positive bacteria and moderate against gram-negative strains, their instability to hydrolysis probably reduces their effectiveness as antibacterials.

Summary

(2,3)- α -Methylenepenams, in contrast to the β -methylene isomers, were found to be biologically active. The results confirm that the "open" conformation of penicillins is the biologically active form. The relative position in space of the carboxylic acid was found to profoundly influence the nature of the biological properties. In (2,3)- α -methylenepenam, the absolute configuration at C2 is opposite to that in the naturally occurring penicillins, placing the carboxyl group in a position similar to that of cephalosporins. Consistent with this, the (2,3)- α -methylenepenams exhibited selective activity to PBP 3 of *E. coli* and were more susceptible to cephalosporinase than penicillinase.

Experimental Section

Infrared spectra (IR) were recorded on a Digilab FTS 14 spectrometer. Mass spectra (MS) were obtained on a VG7070E-HF mass spectrometer running in the positive ion fast atom bombardment mode using thioglycerol as the solvent. Proton nuclear magnetic resonance spectra (NMR) were recorded on a Varian XL-400 instrument. Chemical shifts (δ) are expressed in parts per million (ppm) relative to tetramethylsilane, and coupling constants (*J*) are expressed in hertz (s = singlet, d = doublet, t = triplet, q = quartet, m = multiplet).

Silica gel 60 (230–400 mesh), and plates precoated with silica gel 60 F-254 (both from E. Merck) were used for column and thin layer chromatography (TLC), respectively. Reverse phase high performance liquid chromatography were performed with a Waters analytical instrument using a Whatman Partisil M9 10/25 ODS-2 column. Standard penicillins and cephalosporins used for comparisons were either obtained from commercial sources or prepared by literature methods.

Preparation of **9**

To a solution of **7** (94 mg, 0.2 mmol) and pyridine (dry, 32 μ L, 0.38 mmol) in dry chloroform (2 mL) was added PCl_5 (46 mg, 0.22 mmol) at -4 to -2 °C. The mixture was stirred at this temperature for 1 h. About 30 min after the

addition of PCl_5 , a white precipitate formed. *n*-Propanol dried over molecular sieve (4Å) (0.3 mL) and then chloroform (1 mL) were added at -4 to -2 °C. After the reaction mixture was stirred for about 45 min at the same temperature, a clear solution was obtained. If precipitate still remained after 45 min, additional PCl_5 (2 mg) was added and stirring was continued for 15 min until the reaction became clear. The addition was repeated if the precipitate did not dissolve. Usually, 2 to 4 mg PCl_5 was required. The reaction was further stirred for an additional 15 min after the clear solution was obtained. Subsequently, saturated aqueous sodium chloride solution (0.5 mL) was added at 0 °C and the reaction stirred for 15 min. Ethyl acetate (6 mL), saturated aqueous sodium chloride solution (3 mL) and saturated aqueous sodium bicarbonate solution (0.5 mL) were then added successively. The two layers were separated, and the organic phase was washed with saturated aqueous sodium chloride solution (2 x 3 mL), dried over magnesium sulfate and filtered. The filtrate was used as is for subsequent acylation reactions. In some experiments dichloromethane was used instead of ethyl acetate during the extractive workup. This material has R_f 0.35 with silica gel TLC using ethyl acetate as solvent.

Preparation of **10** by RCOCl method

The acid chloride (1 eq.) in dry chloroform was added at 0 °C to the mixture of crude **9** (prepared immediately before use) in chloroform and saturated aqueous sodium bicarbonate. This mixture was stirred under argon atmosphere at 0 °C for 2 h. The two phases were separated and the aqueous phase extracted with ethyl acetate twice. The organic layers were combined, washed with saturated aqueous sodium chloride solution, dried (MgSO_4), filtered, and concentrated under reduced pressure. The residue was then chromatographed on silica gel to give **10**.

Compound **10a**: 23% yield from **7**; NMR (CDCl_3) δ 1.65 (d, 1, *J* = 8 Hz), 1.81 (s, 3), 1.84 (d, 1, *J* = 8 Hz), 4.55 (AB q, 2, *J* = 13 Hz), 4.82 (d, 1, *J* = 4 Hz), 5.36 (AB q, 2, *J* = 14 Hz), 5.84 (dd, 1, *J* = 9, 4 Hz), 6.94 (d, 1, *J* = 8 Hz), 7.06 (t, 1, *J* = 8 Hz), 7.35 (m, 3), 7.65 (d, 2, *J* = 9 Hz), 8.27 (d, 2, *J* = 9 Hz).

Compound **10b**: 24% yield from **7** as a 1:1 mixture of two diastereomers; IR (CHCl_3) 1800, 1732, 1682, 1525, 1350 cm^{-1} ; MS 647 ($\text{M}^+ + \text{H}$), 669 ($\text{M}^+ + \text{Na}$); NMR (CDCl_3) δ 1.61, 1.62 (2d, 1, *J* = 8 Hz), 1.76, 1.80 (2s, 3), 1.81 (m, 1), 4.64 (d, 1, *J* = 12 Hz), 4.75, (dd, 1, *J* = 12, 4 Hz), 5.30 (m, 4), 5.74 (m, 1), 7.27 (m, 2), 8.19 (2d, 2, *J* = 8 Hz), 8.25 (2d, 2, *J* = 8 Hz).

Compound **10c**: 25% yield from **7**; NMR (CDCl_3) δ 1.56 (d, 1, *J* = 8 Hz), 1.70 (s, 3), 1.73 (d, 1, *J* = 8 Hz), 2.78 (d, 1, *J* = 19 Hz), 3.64 (d, 1, *J* = 19 Hz), 4.68 (d, 1, *J* = 5 Hz), 5.25 (d, 1, *J* = 18 Hz), 5.36 (d, 1, *J* = 18 Hz), 5.66 (dd, 1, *J* = 12 and 5 Hz), 7.12 (d, 2, *J* = 9 Hz), 7.40 (d, 1, *J* = 12 Hz), 7.61 (d, 2, *J* = 11 Hz), 8.24 (d, 2, *J* = 11 Hz), 8.48 (d, 2, *J* = 9 Hz).

Compound **10d**: 25% yield from **7**; IR (CHCl_3) 3420, 1801, 1740, 1526, 1402, 1352 cm^{-1} ; NMR (CDCl_3) δ

1.65 (d, 1, $J = 8$ Hz), 1.81 (s, 3), 1.83 (d, 1, $J = 8$ Hz), 4.80 (d, 1, $J = 7$ Hz), 5.32 (d, 1, $J = 16$ Hz), 5.40 (d, 1, $J = 16$ Hz), 5.74 (dd, 1, $J = 10, 7$ Hz), 6.25 (s, 1), 6.88 (d, 1, $J = 10$ Hz), 7.42 (m, 1), 7.64 (d, 2, $J = 10$ Hz), 8.22 (s, 1), 8.26 (d, 1, $J = 10$ Hz).

Compound 10e: 40% yield from **7**; NMR (CDCl_3) δ 1.64 (d, 1, $J = 5$ Hz), 1.79 (s, 3), 1.86 (d, 1, $J = 5$ Hz), 3.86 (s, 6), 4.91 (d, 1, $J = 3$ Hz), 5.31 (d, 1, $J = 8$ Hz), 5.41 (d, 1, $J = 3$ Hz), 6.02 (dd, 1, $J = 8, 3$ Hz), 6.55 (d, 2, $J = 5$ Hz), 7.29 (t, 1, $J = 5$ Hz), 7.63 (d, 2, $J = 5$ Hz), 8.06 (d, 1, $J = 8$ Hz), 8.24 (d, 2, $J = 5$ Hz).

Compound 10f: 39% yield from **7**; IR (CHCl_3) 3320, 1791, 1733, 1705, 1523, 1348 cm^{-1} ; MS 460 ($\text{M}^+ + \text{H}$); NMR (CDCl_3) δ 1.66 (d, 1, $J = 8$ Hz), 1.83 (s, 3), 1.93 (d, 1, $J = 8$ Hz), 4.86 (d, 1, $J = 6$ Hz), 5.30–5.50 (m, 4), 5.67 (dd, 1, $J = 8, 6$ Hz), 7.69 (d, 2, $J = 8$ Hz), 8.25 (d, 2, $J = 8$ Hz), 9.02 (s, 1), 9.41 (d, 1, $J = 8$ Hz).

Preparation of **10** by RCOSAr method

Dicyclohexylcarbodiimide (1.1 eq.) was added in one portion to a solution of carboxylic acid (1 eq.) and 2-mercaptobenzothiazole in ethyl acetate (5 mL per mmol) with magnetic stirring at room temperature. A white precipitate was formed. After 1 h, a dried solution of **9** (1 eq.) in chloroform was added to this suspension. This mixture was stirred at room temperature for an additional 2 h. Insoluble material was filtered off, and the filtrate was concentrated and chromatographed on silica gel to give the desired product.

Compound 10g: 32% yield from **7**; NMR (CDCl_3) δ 1.64 (d, 1, $J = 8$ Hz), 1.80 (s, 3), 1.83 (d, 1, $J = 8$ Hz), 4.08 (s, 3), 4.87 (d, 1, $J = 6$ Hz), 5.15 (br s, 2), 5.35 (AB q, 2), 5.89 (dd, 1, $J = 10, 6$ Hz), 6.91 (d, 1, $J = 10$ Hz), 6.94 (s, 1), 7.63 (d, 2, $J = 9$ Hz), 8.24 (d, 2, $J = 9$ Hz).

Compound 10h: NMR ($\text{Me}_2\text{SO}-d_6$) δ 1.52 (s, 3), 1.54 (s, 3), 1.62 (m, 2), 1.70 (s, 3), 5.12 (d, 1, $J = 4$ Hz), 5.35 (AB q, 2), 5.41 (s, 2), 5.73 (dd, 1, $J = 8, 4$ Hz), 6.72 (s, 1), 7.32 (s, 2), 7.66 (d, 2, $J = 9$ Hz), 7.74 (d, 2, $J = 9$ Hz), 8.14 (d, 2, $J = 9$ Hz), 8.27 (d, 2, $J = 9$ Hz), 9.50 (d, 1, $J = 8$ Hz).

Compound 10i: 31% yield from **7**; IR (KBr) 1775, 1667, 1520 cm^{-1} ; NMR (CDCl_3) δ 1.62 (d, 1, $J = 8$ Hz), 1.76 (s, 3), 1.81 (d, 1, $J = 8$ Hz), 3.85 (s, 2), 4.77 (d, 1, $J = 5$ Hz), 5.34 (AB q, 2, $J = 5$ Hz), 5.75 (dd, 1, $J = 12, 5$ Hz), 6.76 (d, 1, $J = 12$ Hz), 7.01 (m, 2), 7.31 (m, 1), 7.63 (d, 2, $J = 11$ Hz), 8.27 (d, 2, $J = 11$ Hz).

Compound 10j: 22% yield from **7**; NMR (CDCl_3) δ 1.18 (t, 3, $J = 7$ Hz), 1.54 (d, 1), 1.59 (s, 3), 1.70 (d, 1), 3.52 (m, 2), 3.52 (q, 2, $J = 7$ Hz), 4.02 (m, 2), 4.64 (d, 1, $J = 4$ Hz), 5.28 (AB q, 2), 5.38 (d, 1, $J = 7.5$ Hz), 5.70 (dd, 1, $J = 8.5, 4$ Hz), 6.38 (d, 1, $J = 8.5$ Hz), 7.38 (s, 5), 7.59 (d, 2, $J = 8.5$ Hz), 8.22 (d, 2, $J = 8.5$ Hz), 9.94 (d, 1, $J = 7.5$ Hz).

Compound 10k: 11% yield from **7**; IR (CHCl_3) 3595, 3430, 1722, 1062, 1048 cm^{-1} ; MS 703 ($\text{M}^+ + \text{H}$), 595

($\text{M}^+ + \text{H}$); NMR (CDCl_3) δ 1.59 (d, 1, $J = 8$ Hz), 1.74 (s, 3), 1.78 (d, 1, $J = 8$ Hz), 3.50 (m, 2), 3.96 (m, 2), 4.72 (d, 1, $J = 6$ Hz), 4.85 (s, 1), 5.32 (AB q, 2), 5.38 (d, 1, $J = 7$ Hz), 5.72 (dd, 1, $J = 10, 7$ Hz), 6.51 (d, 1, $J = 10$ Hz), 7.38 (s, 5), 7.62 (d, 2, $J = 8$ Hz), 8.24 (d, 2, $J = 8$ Hz), 9.05 (d, 1, $J = 8$ Hz).

Preparation of **10** by RCOOAr method

Dicyclohexylcarbodiimide (1.1 eq.) was added in one portion to the suspension of carboxylic acid and 1-hydroxybenzotriazole hydrate (1.1 eq.) in dichloromethane (5 mL per mmol) with magnetic stirring in an ice–water bath. After 90 min, a dried solution of **9** (1 eq.) in dichloromethane was added to this suspension. The mixture was stirred with cooling in an ice–water bath for 2 h followed by stirring at room temperature for 1 h. The reaction mixture was chromatographed on silica gel to give the desired product.

Compound 10l: 19% yield from **7**; IR (KBr) 3315, 1800, 1728, 1659, 1522, 1345 cm^{-1} ; NMR (CDCl_3) δ 1.63 (d, 1, $J = 8$ Hz), 1.78 (s, 3), 1.80 (d, 1, $J = 8$ Hz), 3.04 (d, 1, $J = 5$ Hz), 4.78 (d, 1, $J = 5$ Hz), 5.12 (d, 1, $J = 5$ Hz), 5.31 (d, 1, $J = 14$ Hz), 5.39 (d, 1, $J = 14$ Hz), 5.73 (dd, 1, $J = 12, 5$ Hz), 7.04 (d, 1, $J = 12$ Hz), 7.40 (m, 5), 7.63 (d, 2, $J = 9$ Hz), 8.75 (d, 2, $J = 9$ Hz).

Compound 10m: 42% yield from **7**; IR (CHCl_3) 3410, 1801, 1743, 1711, 1528, 1351 cm^{-1} ; NMR (CDCl_3) δ 1.68 (d, 1, $J = 8$ Hz), 1.84 (s, 3), 1.85 (d, 1, $J = 8$ Hz), 3.48 (m, 2), 4.83 (d, 1, $J = 6$ Hz), 5.38 (AB q, 2), 5.75 (dd, 1, $J = 10, 6$ Hz), 6.67 (d, 1, $J = 10$ Hz), 7.55 (d, 2, $J = 8$ Hz), 8.37 (d, 2, $J = 8$ Hz).

Hydrogenolysis of **10**

Using the hydrogenolysis methods as indicated in Table 2, the following compounds were prepared.

Method A. Compound **10** (0.1 mmol) was dissolved in dry tetrahydrofuran (10 mL). To this solution was added 10% palladium on charcoal (60 mg). The suspension was stirred under hydrogen at room temperature and atmospheric pressure until hydrogen uptake stopped. Catalyst was removed by filtration through Celite (pre-washed with tetrahydrofuran), and the filter-cake washed with dry tetrahydrofuran (10 mL). The filtrate and washing were combined and added to a solution of sodium bicarbonate (10 mg, 0.12 mmol) in water (20 mL). This mixture was concentrated under reduced pressure to remove tetrahydrofuran. The resulting aqueous solution was washed with dichloromethane, then further concentrated to about 4 mL under reduced pressure. After filtration, this aqueous solution was purified by reverse-phase high performance liquid chromatography using acetonitrile–water mixtures as solvent. After combining the appropriate fractions and evaporation under reduced pressure to remove acetonitrile, lyophilization gave **11** as a white solid.

Method B. Compound **10** was hydrogenated in the mixture of ethyl acetate and 0.1 M aqueous sodium bicarbonate

(1:1, V/V) over 10% palladium on charcoal until the appropriate volume of hydrogen was consumed. The mixture was filtered through Celite, washed with water and ethyl acetate. The layers were separated. The aqueous portion was washed once with ethyl acetate. The organic layer was extracted once with water. Aqueous extracts were combined, concentrated and chromatographed as in Method A to give pure product.

Method C. Identical to Method A except for substituting methanol–tetrahydrofuran (1:1, V/V) as solvent instead of tetrahydrofuran during the hydrogenolysis.

Method D. Identical to Method A except for substituting dimethylformamide as solvent instead of tetrahydrofuran during the hydrogenolysis.

Method E. Identical to Method A except for substituting methanol as solvent instead of tetrahydrofuran during the hydrogenolysis.

Compound 11a: 43% yield; NMR (D_2O) δ 1.44 (d, 1, J = 8 Hz), 1.64 (s, 3), 1.67 (d, 1, J = 8 Hz), 5.01 (d, 1, J = 4 Hz), 5.52 (d, 1, J = 4 Hz), 7.03 (d, 2, J = 8 Hz), 7.11 (t, 1, J = 8 Hz), 7.41 (t, 2, J = 8 Hz).

Compound 11b: 63% yield as a 1:1 mixture of two diastereomers; IR (KBr) 3400, 1762, 1665, 1610 cm^{-1} ; MS 421 (M^+ + H), 443 (M^+ + Na); NMR (D_2O) δ 1.42 (2d, 1, J = 8 Hz), 1.67, 1.71 (2s, 3), 1.66, 1.70 (2d, 1, J = 8 Hz), 4.59 (d, 1, J = 4 Hz), 4.72 (s, 1), 5.49 and 5.56 (2d, 1, J = 4 Hz), 7.42 (m, 5).

Compound 11c: 15% yield; IR (KBr) 3420, 1768, 1665, 1618 cm^{-1} ; MS 388 (M^+ + H); NMR (D_2O) δ 1.42 (d, 1, J = 8 Hz), 1.63 (s, 3), 1.64 (d, 1, J = 8 Hz), 4.01 (AB q, 2, J = 17 Hz), 5.43 (d, 1, J = 3 Hz), 7.37 (d, 2, J = 5 Hz), 8.04 (d, 2, J = 5 Hz).

Compound 11d: 26% yield; IR (KBr) 3410, 1768, 1735, 1698, 1612, 1520 cm^{-1} ; MS 421 (M^+ + Na), 399 (M^+ + H); NMR (D_2O) δ 1.23 (d, 1, J = 8 Hz), 1.43 (s, 3), 1.52 (d, 1, J = 8 Hz), 4.82 (m, 1), 5.35 (m, 1), 6.11 (s, 1), 7.40 (m, 5), 8.23 (s, 1).

Compound 11e: 16% yield; IR (KBr) 3410, 1767, 1673, 1618, 1598 cm^{-1} ; MS 401 (M^+ + H); NMR (D_2O) δ 1.45 (d, 1, J = 8 Hz), 1.69 (s, 3), 1.75 (d, 1, J = 8 Hz), 3.89 (s, 6), 5.06 (d, 1, J = 3 Hz), 5.68 (d, 1, J = 3 Hz), 6.80 (d, 2, J = 9 Hz), 7.48 (t, 1, J = 9 Hz).

Compound 11f: 37% yield; IR (KBr) 3400–3200, 1766, 1685, 1605 cm^{-1} ; MS 347 (M^+ + H); NMR (D_2O) δ 1.44 (d, 1, J = 8 Hz), 1.70 (s, 3), 1.71 (d, 1, J = 8 Hz), 5.00 (d, 1, J = 4 Hz), 5.52 (d, 1, J = 4 Hz), 5.56 (m, 2), 9.18 (s, 1).

Compound 11g: 33% yield; IR (KBr) 3400, 1765, 1661, 1615, 1531 cm^{-1} ; MS 398 (M^+ + H of free acid); NMR (Me_2SO-d_6) δ 1.19 (d, 1, J = 8 Hz), 1.45 (d, 1, J = 8 Hz), 1.69 (s, 3), 3.84 (s, 3), 4.82 (d, 1, J = 5 Hz), 5.34 (dd, 1, J = 8, 5 Hz), 6.70 (s, 1), 7.19 (br s, 2), 9.41 (br d, J = 8 Hz).

Compound 11h: NMR (Me_2SO-d_6) δ 1.26 (d, 1, J = 8 Hz), 1.92 (s, 3), 1.93 (d, 1, J = 8 Hz), 1.95 (s, 3), 2.09 (s, 3), 4.89 (m, 1), 5.20 (m, 1), 6.72 (s, 1), 7.16 (s, 2).

Compound 11i: 61% yield; IR (KBr) 3400, 1765, 1668, 1602, 1518 cm^{-1} ; NMR (D_2O) δ 1.46 (d, 1, J = 8 Hz), 1.71 (m, 4), 3.95 (s, 2), 5.00 (d, 1, J = 4 Hz), 5.50 (d, 1, J = 4 Hz), 7.09 (m, 2), 7.42 (m, 1).

Compound 11j: 71% yield; IR (KBr) 3300, 1770, 1718, 1683, 1612, 1515, 1400, 1370, 1190 cm^{-1} ; MS 538.1373 (M^+ + H); NMR (D_2O) δ 1.20 (t, 3, J = 7 Hz), 1.38 (d, 1, J = 8 Hz), 1.58 (s, 3), 1.61 (d, 1, J = 8 Hz), 3.53 (m, 2), 3.72 (m, 2), 4.05 (m, 2), 4.89 (d, 1, J = 4 Hz), 5.49 (s, 1), 5.51 (d, 1, J = 4 Hz), 7.50 (s, 5).

Compound 11k: 25% yield; IR (KBr) 1765, 1725, 1672, 1615, 1532 cm^{-1} ; MS 482 (M^+ + H), 504 (M^+ + Na); NMR (D_2O) δ 1.38 (d, 1, J = 8 Hz), 1.58 (s, 3), 1.60 (d, 1, J = 8 Hz), 3.55 (m, 2), 3.94 (m, 2), 4.90 (d, 1, J = 5 Hz), 5.41 (s, 1), 5.47 (d, 1, J = 5 Hz), 7.48 (s, 5).

Compound 11l: 37% yield; IR (KBr) 3390, 1765, 1678, 1615, 1520 cm^{-1} ; NMR (D_2O) δ 1.44 (d, 1, J = 8 Hz), 1.65 (s, 3), 1.67 (d, 1, J = 8 Hz), 5.00 (d, 1, J = 6 Hz), 5.50 (d, 1, J = 6 Hz), 7.50 (s, 5).

Compound 11m: 21% yield; IR (KBr) 3400, 2262, 1768, 1680, 1612, 1540 cm^{-1} ; MS 304 (M^+ + H); NMR (D_2O) δ 1.11 (d, 1, J = 7 Hz), 1.39 (d, 1, J = 7 Hz), 1.69 (s, 3), 3.77 (AB q, 2), 4.75 (m, 1), 5.25 (m, 1), 9.02 (d, 1, J = 8 Hz).

Preparation of 12

To a stirred solution of **7** (93.0 mg, 0.2 mmol) in anhydrous dichloromethane (1 mL) at $-30^\circ C$ under an argon atmosphere, was simultaneously added *tert*-butyl hypo-chlorite (32.3 mg, 0.27 mmol) and a methanolic lithium methoxide solution (170 μL containing 8 mg of LiOMe, 0.2 mmol). After stirring at $-30^\circ C$ for 30 min, the mixture was purified by high performance liquid chromatography (Whatman M9 Partisil 10, linear gradient 25%–70% solvent mixture B in solvent mixture A; A = isooctane–dichloromethane, 1:2, V/V; B = ethyl acetate–dichloromethane, 1:2, V/V) to afford recovered starting material **7** (23 mg), a mixture (12 mg) of β -lactam ring opened methyl esters of product and starting material, and the desired methoxylated product **12** (27 mg, 36% based on unrecovered starting material) as a pale yellow, tacky solid: NMR ($CDCl_3$) δ 1.57 (d, 1, J = 8 Hz), 1.60 (s, 3), 1.63 (d, 1, J = 8 Hz), 3.30 (s, 3), 3.56 (s, 2), 4.81 (s, 1), 5.24 (s, 2), 6.39 (br s, 1), 7.21 (m, 5), 7.48 (d, 2, J = 8 Hz), 8.09 (d, 2, J = 8 Hz).

Preparation of 13

Compound 12 (25 mg, 0.05 mmol) was hydrogenated by Method C to give, after workup and chromatography, **13** (15.4 mg, 80%) as a white lyophilized powder: m.p. 159–161 $^\circ C$; IR (KBr) 3650–2800, 1763, 1675, 1618, 1400, 1040 cm^{-1} ; MS 407 (M^+ + Na); NMR (D_2O) δ 1.51 (d, 1,

$J = 8$ Hz), 1.54 (s, 3), 1.57 (d, 1, $J = 8$ Hz), 3.49 (s, 3), 3.75 (s, 2), 5.03 (s, 1), 7.40 (m, 5).

Preparation of 15

To a solution of crude **9** (prepared from 186.8 mg of **7**, 0.4 mmol) in dichloromethane was added a solution of *p*-toluenesulfonic acid monohydrate (57.0 mg, 0.3 mmol), and triethylamine (42 μ L, 0.3 mmol) in dichloromethane (2.0 mL). To the resulting mixture was added **14** (89% pure, 520 mg, 2.67 mmol) at 0 °C. The reaction mixture was stirred at 0 °C overnight under an argon atmosphere. The solvent was removed at 10 °C/10 mm mercury pressure. The crude residue was extracted twice with ethyl acetate and backwashed three times with water, once with saturated aqueous solution of sodium bicarbonate, twice with water. The ethyl acetate extracts were combined, dried over anhydrous sodium sulfate, then filtered. The filtrate was concentrated to dryness under reduced pressure to afford crude **15** as a dark oil.

Preparation of 16

Crude **15** was hydrogenated by Method B to give, after workup and chromatography, pure **16** (16.5 mg, 12% overall yield from **7**) as a white lyophilized solid: NMR (D_2O) δ 1.51 (d, 1, $J = 8$ Hz), 1.63 (m, 4), 1.67 (s, 3), 1.70 (d, 1, $J = 8$ Hz), 1.86 (m, 4), 3.56 (m, 2), 3.73 (m, 2), 5.05 (d, 1, $J = 5$ Hz), 5.33 (d, 1, $J = 5$ Hz), 8.05 (s, 1).

Preparation of 17

The cooled (ice–water bath) solution of **7** (50.0 mg, 0.106 mmol) in dichloromethane (3.0 mL) was treated with *metachloroperbenzoic acid* (22.7 mg 0.131 mmol). The reaction was stirred for 80 min then treated with two additional portions of per acid (4.2 and 4.8 mg respectively) 15 min apart. After 10 min additional stirring, the solution was washed three times with saturated aqueous sodium bicarbonate solution (1 mL each), and three times with saturated aqueous sodium sulfite solution (1 mL each). The dichloromethane solution was dried (magnesium sulfate), filtered, and concentrated under reduced pressure. This residue was chromatographed on silica gel to give pure **17** (44.4 mg, 85%): NMR ($CDCl_3$) δ 1.48 (d, 1, $J = 8$ Hz), 1.67 (d, 1, $J = 8$ Hz), 1.97 (s, 3), 3.58 (s, 2), 4.37 (d, 1, $J = 5$ Hz), 5.36 (d, 1, $J = 18$ Hz), 5.43 (d, 1, $J = 18$ Hz), 6.18 (dd, 1, $J = 12, 5$ Hz), 7.30 (m, 5), 7.65 (d, 2, $J = 10$ Hz), 8.26 (d, 2, $J = 10$ Hz).

Preparation of 18

Compound **17** (43.9 mg, 0.09 mmol) was hydrogenated by Method E to give, after workup and chromatography **18** (11.7 mg, 34%) as a white lyophilized solid: IR (KBr) 3450, 1788, 1685, 1618 cm^{-1} ; MS 371 ($M^+ + H$); NMR (D_2O) δ 1.56 (d, 1, $J = 8$ Hz), 1.67 (d, 1, $J = 8$ Hz), 1.82 (s, 3), 3.73 (s, 2), 4.80 (d, 1, $J = 3$ Hz), 5.96 (d, 1, $J = 3$ Hz), 7.40 (m, 5).

Acknowledgements

The authors wish to thank Dr N. Georgopapadakou for the PBP-binding assays, the members of the Physical Chemistry Department of Hoffmann-La Roche Inc. for their assistance in measuring the various spectra, and, Mrs Betty Ann Hedemus and Mr Oliver Payumo for their assistance in preparing this manuscript.

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